



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 8481

SERIAL NUMBER 09/891,217	FILING DATE 06/26/2001 RULE	CLASS 705	GROUP ART UNIT 2161	ATTORNEY DOCKET NO. 099593-8
------------------------------------	---	---------------------	-------------------------------	--

APPLICANTS
Philippe Buhannic, New York, NY;
Joseph Ahearn, New York, NY;

**** CONTINUING DATA *******
THIS APPLN CLAIMS BENEFIT OF 60/214,256 06/26/2000
AND CLAIMS BENEFIT OF 60/298,083 06/15/2001

**** FOREIGN APPLICATIONS *******
verified *SSP*
None *SSP*

IF REQUIRED, FOREIGN FILING LICENSE GRANTED SMALL ENTITY ****
** 08/14/2001

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY NY	SHEETS DRAWING 1	TOTAL CLAIMS 5	INDEPENDENT CLAIMS 1
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature <i>SSP</i> Initials				

ADDRESS
22204

TITLE
Securities trade state tracking method and apparatus

FILING FEE RECEIVED 435	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees (Filing)
		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
		<input type="checkbox"/> 1.18 Fees (Issue)
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit